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UNIVATION LAW DEPT

NO. 2185 P. 1

Univation Technologies, LLC 5555 San Felipe, 19th Floor, Houston, Texas 77056 United States of America

Univation
TECHNOLOGIES

Date: Tuesday, November 04, 2003

Number of pages including cover sheet: 11

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To: USPTO

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attn: Group 1713/Examiner C Caixia Lu

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FROM: KEVIN M. FAULKNER

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REMARKS: ☒ Urgent ☒ For your review ☐ Reply ASAP ☐ Please comment

Re: U.S.S.N.: 09/396,266
Filing Date: 09/15/1999
Attorney Docket No.: 1998U007A.US

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence consisting of a Fee Transmittal in duplicate and copy of a Response to an Office Action mailed 05/15/2003, are being facsimile transmitted to the United States Patent and Trademark Office, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, to facsimile number (703) 872-9311, Attn: Examiner C Caixia Lu, on Tuesday, November 04, 2003.

Date

11.4.03

Kevin M. Faulkner
Kevin M. Faulkner
Attorney for Applicant
Registration No. 45,427

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PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032
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FEE TRANSMITTAL
for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)
950.00**Complete if Known**

Application Number	09/396,366
Filing Date	09/15/1999
First Named Inventor	Peterson, Thomas H.
Examiner Name	Lu, C Caixa
Art Unit	1713
Attorney Docket No.	1998U007A.US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 50-0589

Deposit Account Name: Univation Technologies

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims: 0 -20** = 0 X 18 = 0

Independent Claims: 0 -3** = 0 X 94 = 0

Multiple Dependent: 280 = 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 84	2201 42	Independent claims in excess of 3	
1203 280	2203 140	Multiple dependent claim, if not paid	
1204 84	2204 42	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			0.00

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)
950.00**SUBMITTED BY**

Name (Print/Type) Kevin M. Faulkner

Signature

Registration No. 45,427
(Attorney/Agent)**(Complete if applicable)**

Telephone 713-892-3729

Date 11.4.03

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/396,266
Applicant : Thomas H. Peterson
Filed : 09/15/1999
Art Unit : 1713
Examiner : Lu, C. Caixia

Confirmation No. 7188

Docket No. : 1998U007A.US
Customer No. : 25959

Date : November 4, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

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BH
11-19-3

AMENDMENT

Sir:

In response to the Office Action of May 15, 2003, (paper 18), please consider the following remarks, and amend the above identified application as follows:

- Amendments to the Claims are reflected in the listing of claims which begin on page 2 of this paper.
- Remarks begin on page 6 of this paper.